

AM1 CI, STO-3G, and 6-31G Study of Amino Derivatives of Diazaphenanthrenes

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For aminodiazaphenanthrenes and acylaminodiazaphenanthrenes UV spectral values, total energy, and effective charges have been calculated by the AM1 CI 12 method, and the geometry optimized. Correlations of experimental and calculated wavenumber values of considered compounds show good compatibility. In the case of aminodiazaphenanthrenes total energy and effective charges, along with geometry optimization have been also calculated by the STO-3G and 6-31G double ξ MP2 methods and the results have been compared.

In a continuation of the study concerning correlations of experimental and calculated UV spectral values of diazaphenanthrenes (daps) I—III along with those of their *N*-derivatives – quaternary salts with dihaloalkanes [1] and *N*-oxides [2] as well as *C*-derivatives – formyl- [3] and methyldaps [4], in the present paper such correlations for aminodaps IV—VI and their acyl derivatives, *i.e.* acetamido- VII, IX and benzamido-daps VIII, X are described.

Daps are interesting for their reactivity [5–8] and biological activities [9, 10]; aminodaps, a topic of this work are synthons of azo dyes [11] and of condensed four-ring azaaromatics [12, 13].

The AM1 CI 12 method (next referred to as AM1) has been used for correlations of experimental and theoretical UV spectroscopic results and for calculation of total energy, dipole moments, and effective charges as well as for geometry optimization [14]. In the case of IV—VI total energy and dipole moments calculations and geometry optimization were also made by the *ab initio* STO-3G [15] and 6-31G double ξ MP2 (next referred to as 6-31G) [16] methods. In a former work experimental UV data of IV—VI and their correlations with values calculated by the PPP method have been reported [17]. The results obtained by all methods used have been compared.

The experimental and calculated by the AM1 method UV spectral data of IV—X are given in Table 1. The experimental wavenumbers of the α -band of daps I—III [17], aminodaps IV—VI [17], and acylaminodaps VII—X increase in the order: aminodaps, daps, acylaminodaps. Comparison of $\log \{\epsilon\}$ values of α -, *p*-, and

Table 1. Experimental UV Data Calculated by AM1 Wavenumber and Oscillator Strength Values in the Dipole Length Approximation for IV—X

Compound	Experimental		Calculated		
	Band	$\tilde{v} \cdot 10^3/\text{cm}^{-1}$	$\log \{\epsilon\}$	$\tilde{v} \cdot 10^3/\text{cm}^{-1}$	f
IV					
	α	25.1	3.784	24.455	0.153
	<i>p</i>	38.1	4.070	38.897	0.787
	β	41.7	4.547	42.642	0.318
V					
	α	25.6	3.519	25.398	0.156
	<i>p</i>	35.0	3.980	36.567	0.579
	β	40.9	4.628	39.077	0.540
VI					
	α	25.3	3.473	25.673	0.124
	<i>p</i>	35.0	3.892	36.336	0.632
	β	41.0	4.665	39.237	0.410
VII					
	α	31.0	3.892	31.055	0.337
	<i>p</i>	42.0	4.772	42.171	0.513
	β	46.0	3.903	46.214	0.959
VIII					
	α	31.0	4.215	30.968	0.307
	<i>p</i>	42.0	4.760	43.397	0.391
	β	45.5	3.857	45.984	0.584
IX					
	α	36.0	4.477	35.409	0.225
	<i>p</i>	39.5	4.663	39.469	0.192
	β	41.5	4.940	41.457	1.021
X					
	α	34.5	3.017	34.337	0.225
	<i>p</i>	41.0	3.274	41.157	1.023
	β	46.0	2.881	46.121	0.419

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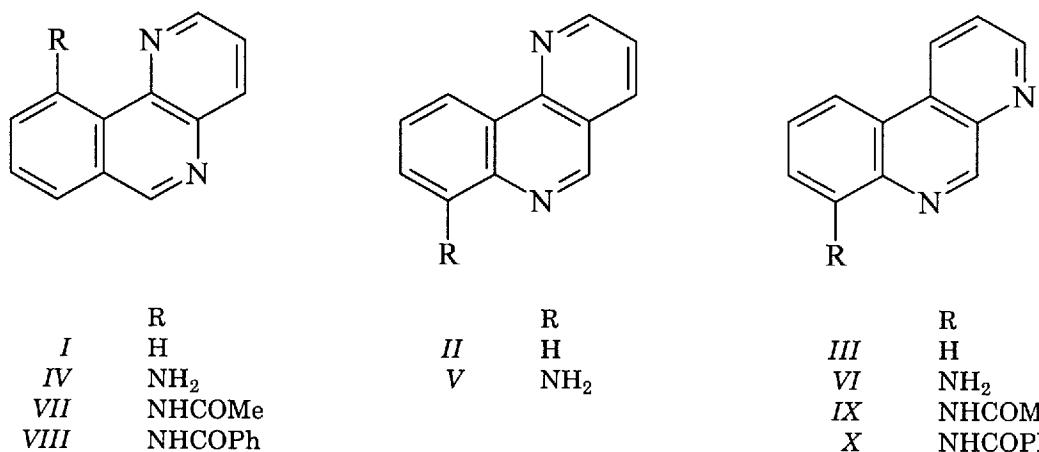


Table 2. Differences in the Experimental Wavenumber Values of α , p -, and β -Bands for *IV*—*X* as Compared with Corresponding Parent Daps *I*—*III*, along with Experimental Wavenumber Values for *I*—*III*

Band	\bar{v} (difference) · $10^3/\text{cm}^{-1}$						
	<i>IV/I</i>	<i>V/II</i>	<i>VI/III</i>	<i>VII/I</i>	<i>VIII/I</i>	<i>IX/III</i>	<i>X/III</i>
α	+ 2.5	+ 3.5	+ 3.7	- 3.4	- 3.4	- 7.0	- 5.5
p	0.0	+ 3.2	+ 3.1	- 3.9	- 3.9	- 1.4	- 2.9
β	+ 0.5	+ 2.7	+ 2.3	- 3.8	- 3.3	+ 1.8	- 2.7

Positive values denote red, negative blue shifts.

Experimental Wavenumber Values for *I*—*III* [17]

Band	$\bar{v} \cdot 10^3/\text{cm}^{-1}$		
	<i>I</i>	<i>II</i>	<i>III</i>
α	27.6	29.1	29.0
p	38.1	38.2	38.1
β	42.2	43.6	43.3

β -bands of *IV*—*VI* with those for parent daps shows the analogous increased order of $\log \{\epsilon\}$ value as that occurring in daps, *i.e.* α , p , β .

The differences between experimental wavenumber values of *IV*—*X* and those for corresponding unsubstituted daps [17] are shown in Table 2. Comparing wavenumber values of *IV*—*X* with those of parent daps, for α , p -, and β -bands of *IV*—*VI* the red shift is observed (except for p -band of *IV*, where no shift takes place); in the case of *VII*—*X*, for all bands blue shifts occur (except for β -band of *IX*).

The correlations of observed and calculated by AM1 wavenumber values are:

For *IV*—*VI* $a = 1.2340$ $b = 1.2504$ $r = 0.9901$

For *VII* and *IX* $a = 1.0241$ $b = -0.9843$ $r = 0.9976$

For *VIII* and *X* $a = 1.0412$ $b = -1.3209$ $r = 0.9935$

Comparison of correlation coefficients for wavenumbers of *IV*—*VI* obtained with AM1 ($r = 0.9901$) and PPP [17] ($r = 0.9898$) methods shows a higher r value in the former case.

The correlations of observed and calculated wavenumber values for *IV*—*X* with corresponding unsubstituted daps *I*—*III* are:

Table 3. Total Energy, Binding Energy, Core Interaction Energy, Heat of Formation, and Dipole Moments Values for *IV*—*X* Calculated by the AM1 Method

Quantity	<i>IV</i>	<i>V</i>	<i>VI</i>	<i>VII</i>	<i>VIII</i>	<i>IX</i>	<i>X</i>
Total energy/eV	- 2280.908	- 2280.983	- 2280.981	- 2885.113	- 3552.273	- 2885.125	- 3552.372
Binding energy/eV	- 120.579	- 120.654	- 120.652	- 144.058	- 184.128	- 144.071	- 146.104
Core interaction energy/eV	10850.831	10735.726	10734.175	14937.708	21078.095	14660.723	20442.662
Heat of formation/eV	3.422	3.347	3.349	1.872	3.387	1.860	3.288
Dipole moments							
M_x/D	1.044	- 1.143	1.904	- 1.863	- 2.738	2.678	0.336
M_y/D	- 1.663	0.874	- 0.756	2.146	1.038	- 4.781	1.425
M_z/D	0.000	0.000	0.000	0.252	0.716	2.871	- 0.750
$M(M)/D$	1.964	1.439	2.040	2.853	3.015	6.187	1.645

Table 4. Total Energy, Electronic Kinetic Energy, Nuclear Repulsion Energy, and Dipole Moments Values for IV—VI Calculated by the STO-3G and 6-31G Methods

Quantity	IV		V		VI	
	STO-3G	6-31G	STO-3G	6-31G	STO-3G	6-31G
Total energy/eV	-16763.017	-17017.361	-16748.809	-17017.345	-16748.755	-17017.244
Electronic kinetic energy/eV	16401.843	16940.593	16590.511	16940.925	16590.547	16940.562
Sum of electronic kinetic energy, electron–electron and electron–nucleus interaction energy/eV	-41693.764	-41771.385	-41113.574	-41553.379	-41082.583	-41523.629
Nuclear repulsion energy / eV	24930.746	24811.742	24364.765	24593.684	24333.827	24564.038
Dipole moments						
M_x/D	0.041	0.836	-1.481	-2.336	1.665	1.399
M_y/D	-1.613	-1.369	0.565	-0.273	-1.257	-1.615
M_z/D	0.001	0.001	0.008	1.062	0.001	0.004
$M(M)/D$	1.614	1.604	1.586	2.580	2.086	2.136

For IV/I $a = 1.0652$ $b = -1.1221$ $r = 0.9843$
 For V/II $a = 0.9805$ $b = 0.6395$ $r = 0.9697$
 For VI/III $a = 0.9486$ $b = 1.7395$ $r = 0.9797$
 For VII/I $a = 0.9633$ $b = 2.4664$ $r = 0.9783$
 For VIII/I $a = 0.9960$ $b = 1.4662$ $r = 0.9826$
 For IX/III $a = 1.0221$ $b = -0.7991$ $r = 0.9874$
 For X/III $a = 1.0165$ $b = -0.4687$ $r = 0.9937$

In correlations of experimental and calculated wavenumber values of IV—VI and corresponding daps, the highest r has been found for IV/I ($r = 0.9843$); among correlations of acylaminodaps r is the highest for X/III ($r = 0.9937$).

For comparison, such correlation coefficients obtained with the use of the PPP method [17] are: for IV/I $r = 0.9826$; for V/II $r = 0.9917$; for VI/III $r = 0.9923$.

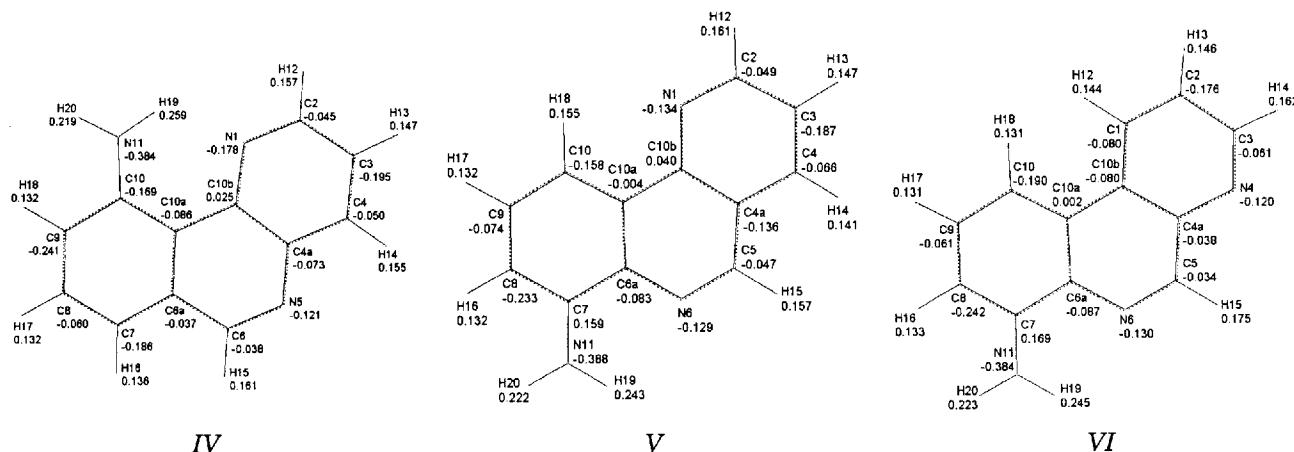
The total energy and dipole moment values for IV—X calculated by the AM1 method are given in Table 3 and those for IV—VI calculated by STO-3G and 6-31G methods in Table 4. In AM1 calculations, among three

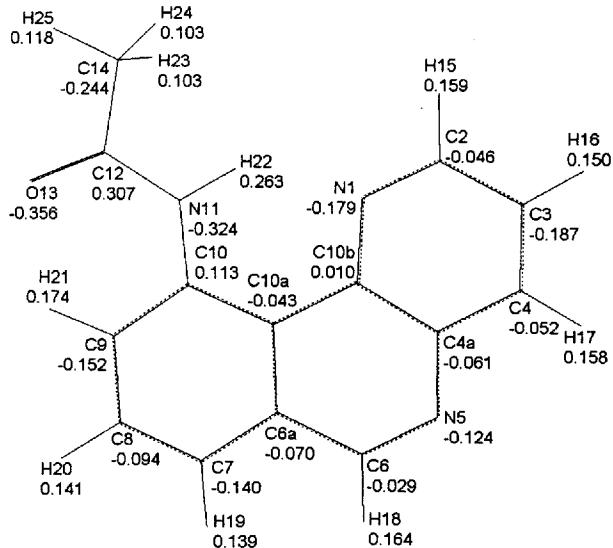
aminodaps IV—VI, the lowest total energy value, *i.e.* the highest stability, was found for V and the highest total energy value for IV. In the analysis of total energy values of IV—VI calculated by both STO-3G and 6-31G methods, the lowest value was found for IV, and the highest one for VI (Table 4).

In calculations by the AM1 method, the increased order of total dipole moment values of IV—VI is V, IV, VI, and among VII—X the sequence is X, VII, VIII, IX. Dipole moments of IV—VI calculated by the STO-3G method increase in the order V, IV, VI, as in the case of the AM1 method, whereas the 6-31G calculation shows the sequence IV, VI, V.

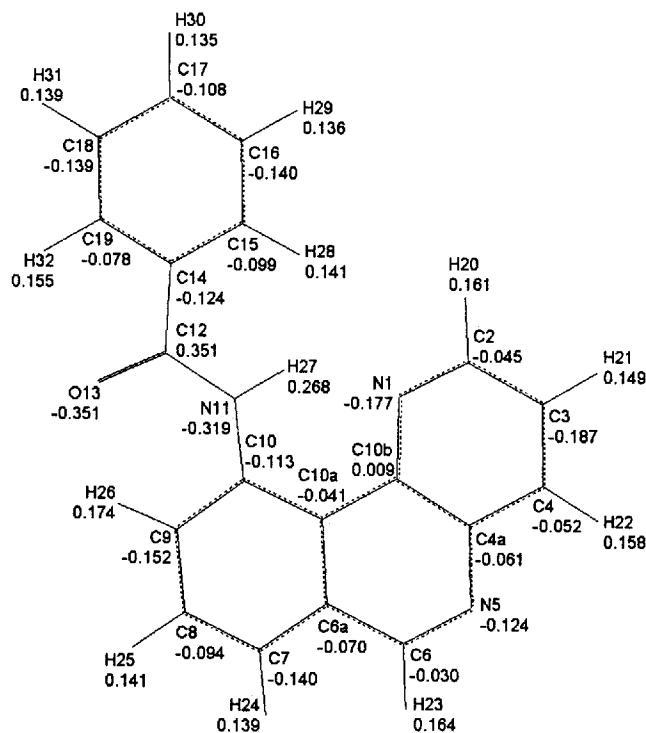
Effective charge values for IV—VI and VII—X calculated by the AM1 method are shown in Figs. 1 and 2, respectively, and those for IV—VI calculated by the STO-3G and 6-31G methods are given in Fig. 3.

In all the calculations for IV—VI, among three nitrogen atoms, the lowest effective charge values were found at amino group, and in the carbocyclic ring at

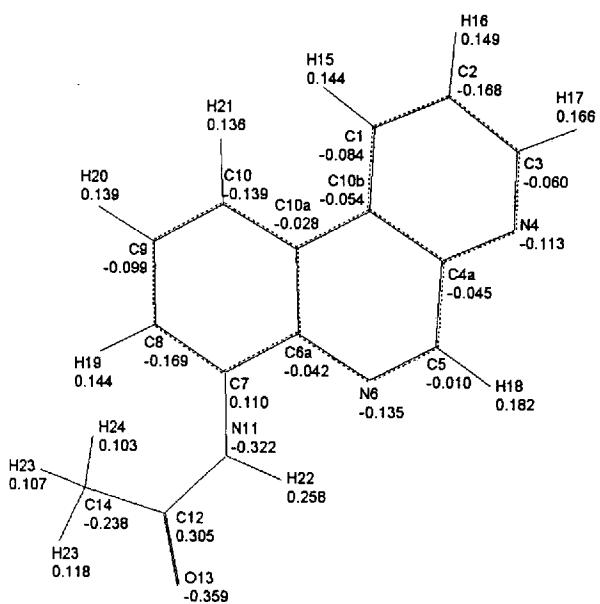
**Fig. 1.** Effective charge numerical values for IV—VI calculated by the AM1 method.



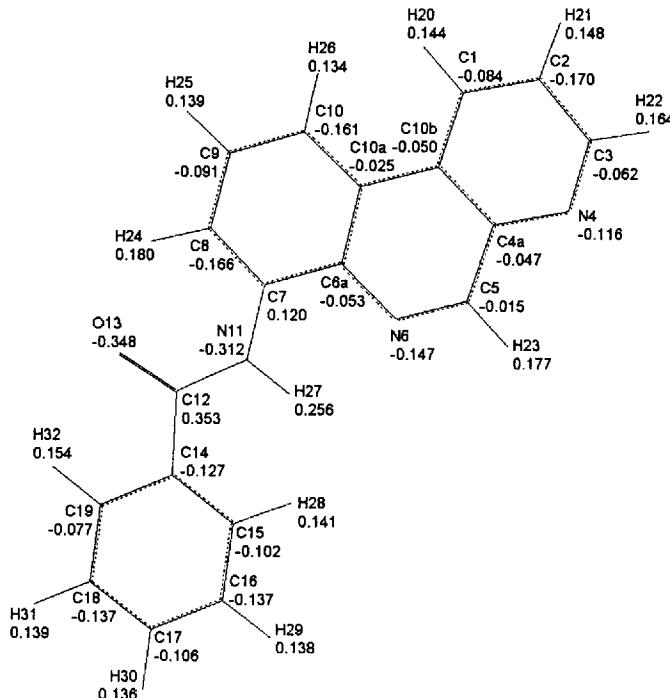
VII



VIII



IX



x

Fig. 2. Effective charge numerical values for VII—X calculated by the AM1 method.

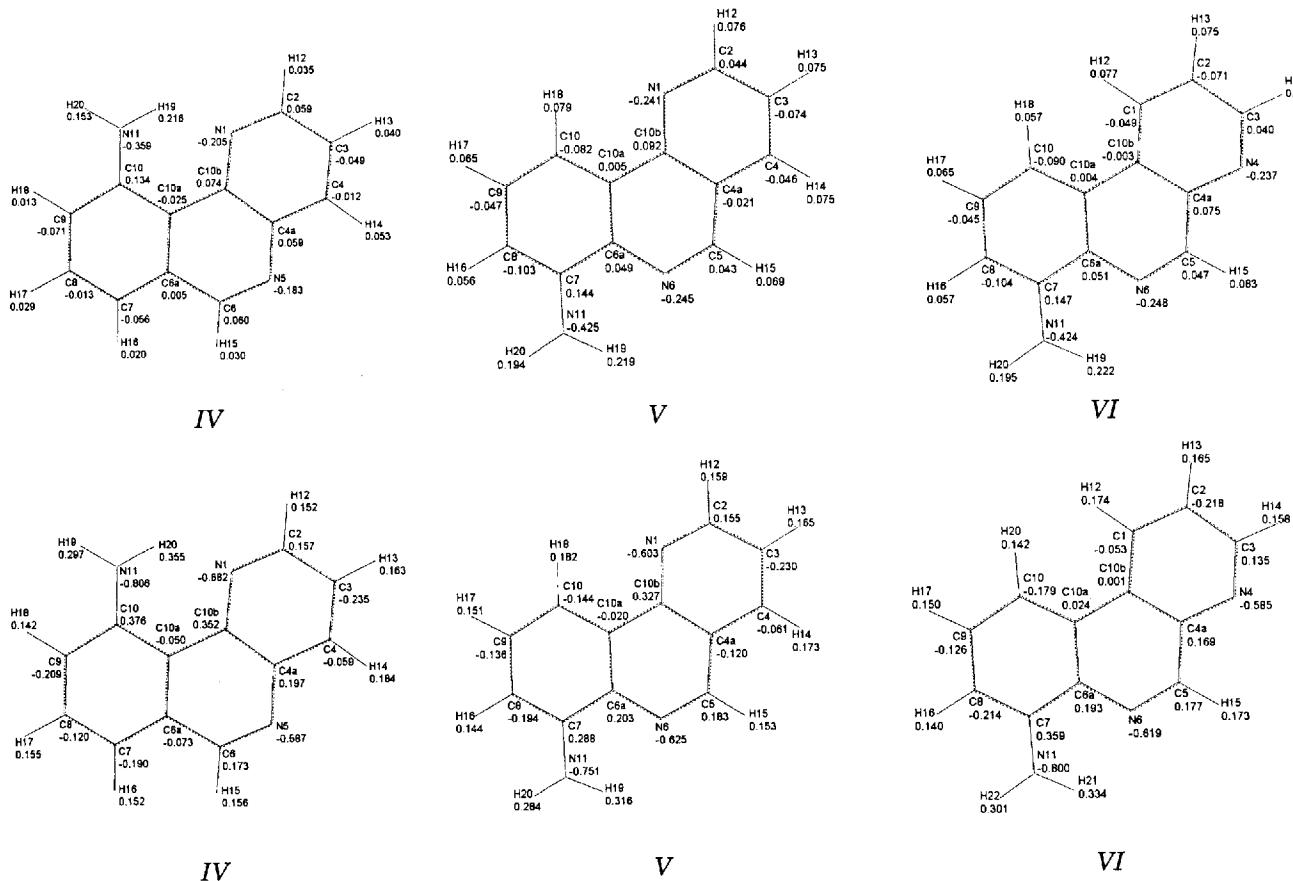


Fig. 3. Effective charge numerical values for **IV**–**VI** calculated by the STO-3G (top line) and 6-31G (bottom line) methods.

ortho and *para* positions relative to the amino group, these positions being influenced by the electron-donating character of the substituent.

AM1 calculations of effective charges of acylamino-daps **VII**–**X** have shown, as in the case of aminodaps, the lowest effective charge values for nitrogen atoms of acylamino group, and in carbocyclic rings at *ortho* and *para* positions to the acylamino groups.

Geometry optimization for **IV**–**VI** calculated by the AM1, STO-3G, and 6-31G methods is given in Table 5, and that for **VII**–**X** calculated by the AM1 method in Table 6.

Analyzing geometry optimization calculated by the AM1 method in **IV** and **V**, the 10a–10b bonds are the longest, and 5–6 bonds the shortest; in **VI** bonds 4a–5 and 6a–7 are longer than the other ones (Table 5). For **IV** and **V** the angles at the positions 6 and 2 and 5 and 2, respectively, are higher than the other ones; in the case of **VI**, however, higher angles are at the positions 5 and 3.

For 1,5-dap derivatives **VII** and **VIII** the longest are 10a–10b and the shortest 5–6 bonds. In 4,6-dap derivatives **IX** and **X** the longest bonds are 4a–6, and the shortest ones 5–6 bonds, similarly as for **VII** and **VIII**. For **VII** and **VIII** the angles at the position 6 are the

biggest, and angles 6a–10a–10b the smallest; similar sequence is found for the parent aminodap **IV**. In **IX** and **X** angles at the position 5 are the biggest and angles 10b–4a–5 the smallest; similar sequence was found for parent aminodap **VI**.

Analyzing geometry optimization calculated by the STO-3G and 6-31G methods, for **IV** the bonds C10a–C10b and C6–C6a are longer than the other ones, and N5–C6 bonds are the shortest. In **V** and **VI** the bonds C10a–C10b and C4a–C5 are longer than the other ones, and C5–C6 bonds are the shortest. Higher than the other ones for **IV** are angles at C6 and C2, for **V** angles at C2 and C5 and for **VI** the angle N4–C4a–C10b and angle at C5.

The above deformations of the hexagonal structure of three rings of **IV**–**X** shown by all the calculations used are due to the presence of nitrogen atoms and amino or acylamino substituents.

EXPERIMENTAL

The UV spectra of compounds have been recorded in 1,2-dichloroethane solution ($c = 10^{-4}$ mol dm⁻³) on a UV VIS Specord spectrophotometer. Calculations were made by the AM1 CI 12, STO-3G, and 6-31G methods.

Table 5. Bond Lengths and Angles for IV—VI Calculated by the AM1, STO-3G, and 6-31G Methods

Bond	IV			V			VI		
	AM1		STO-3G	AM1		STO-3G	AM1		STO-3G
	Bond	Bond	Bond	AM1	STO-3G	6-31G	AM1	STO-3G	6-31G
Length/\AA									
N1—C2	1.329	1.301	1.306	N1—C2	1.331	1.329	C1—C2	1.381	1.362
C2—C3	1.416	1.392	1.395	C2—C3	1.421	1.405	C2—C3	1.420	1.414
C3—C4	1.379	1.345	1.369	C3—C4	1.381	1.362	C3—N4	1.331	1.328
C4—C4a	1.424	1.397	1.398	C4—C4a	1.411	1.414	N4—C4a	1.368	1.384
C4a—C10b	1.440	1.385	1.403	C4a—C10b	1.424	1.393	C4a—C10b	1.424	1.394
C10b—N1	1.368	1.359	1.342	C10b—N1	1.372	1.384	C10b—C1	1.416	1.417
C4a—N5	1.393	1.399	1.378	C4a—C5	1.444	1.459	C4a—C5	1.462	1.442
N5—C6	1.303	1.269	1.271	C5—N6	1.304	1.298	C5—N6	1.302	1.297
C6—C6a	1.452	1.446	1.448	N6—C6a	1.395	1.422	N6—C6a	1.394	1.422
C6a—C10a	1.416	1.383	1.403	C6a—C10a	1.425	1.397	C6a—C10a	1.424	1.399
C10a—C10b	1.460	1.454	1.456	C10a—C10b	1.460	1.467	C10a—C10b	1.445	1.469
C6a—C7	1.402	1.388	1.397	C6a—C7	1.449	1.431	C6a—C7	1.449	1.432
C7—C8	1.385	1.352	1.372	C7—C8	1.410	1.380	C7—C8	1.376	1.422
C8—C9	1.389	1.377	1.388	C8—C9	1.393	1.401	C8—C9	1.392	1.380
C9—C10	1.419	1.371	1.390	C9—C10	1.384	1.369	C9—C10	1.384	1.399
C10—C10a	1.440	1.418	1.427	C10—C10a	1.404	1.409	C10—C10a	1.406	1.412
C10—N11	1.369	1.364	1.359	C7—N11	1.373	1.394	C7—N11	1.371	1.393
Angle/$^{\circ}$									
N1—C2—C3	124.011	124.320	123.717	N1—C2—C3	124.371	124.719	C1—C2—C3	118.734	119.344
C2—C3—C4	118.255	118.084	117.688	C2—C3—C4	118.204	118.853	C2—C3—N4	123.759	124.189
C3—C4—C4a	119.443	119.594	119.567	C3—C4—C4a	118.940	118.740	C3—N4—C4a	117.448	117.616
C4—C4a—C10b	118.554	118.685	118.559	C4—C4a—C10b	119.249	118.722	N4—C4a—C10b	123.215	124.334
C4a—C10b—N1	120.436	121.229	120.807	C4a—C10b—N1	121.342	123.151	C4a—C10b—C1	117.312	117.408
C10b—N1—C2	119.302	118.088	119.663	C10b—N1—C2	117.894	115.814	C10b—C1—C2	119.530	119.107
C4a—N5—C6	117.789	116.237	117.909	C4a—C5—N6	124.380	125.083	C4a—C5—N6	123.992	124.846
N5—C6—C6a	125.075	126.254	125.238	C5—N6—C6a	119.035	116.747	C5—N6—C6a	119.667	116.643
C6—C6a—C10a	118.898	118.288	118.485	N6—O6a—C10a	122.388	123.727	N6—C6a—C10a	120.015	124.087
C6a—C10a—C10b	117.092	116.960	116.548	C6a—C10a—C10b	117.995	117.788	C6a—C10a—C10b	118.055	117.637
C10a—C10b—C4a	118.297	118.625	118.445	C10a—C10b—C4a	117.994	118.198	C10a—C10b—C4a	119.167	117.944
C10b—C4a—N5	122.849	123.636	123.374	C10b—C4a—C5	118.217	118.457	C10b—C4a—C5	117.093	118.843
C6a—C7—C8	119.526	119.473	118.641	C6a—C7—C8	118.442	119.106	C6a—C7—C8	118.380	119.265
C7—C8—C9	120.364	120.652	120.736	C7—C8—C9	121.069	120.250	C7—C8—C9	120.958	119.921
C8—C9—C10	121.700	121.547	122.001	C8—C9—C10	121.171	121.393	C8—C9—C10	121.198	121.536
C9—C10—C10a	118.446	118.468	118.092	C9—C10—C10a	119.995	119.625	C9—C10—C10a	120.281	120.098
C10—C10a—C6a	117.890	118.731	118.495	C10—C10a—C6a	120.498	119.893	C10—C10a—C6a	120.021	119.072
C10a—C6a—C7	122.074	121.128	122.035	C10a—C6a—C7	118.826	119.732	C10a—C6a—C7	119.161	120.387
C9—C10—N11	118.023	120.749	119.617	C6a—C7—N11	121.422	118.399	C6a—C7—N11	121.427	118.946

Table 6. Bond Lengths and Angles for VII—X Calculated by the AM1 Method

<i>VII</i>	<i>VIII</i>	<i>IX</i>	<i>X</i>
Length /Å	Length /Å	Length /Å	Length /Å
N1—C2	1.328	N1—C2	1.381
C2—C3	1.417	C2—C3	1.421
C3—C4	1.379	C3—N4	1.331
C4—C4a	1.425	C4—C4a	1.368
C4a—C10b	1.440	C4a—C10b	1.424
C10b—N1	1.368	C10b—C1	1.416
C4a—N5	1.393	C4a—C5	1.463
N5—C6	1.303	C5—N6	1.302
C6—C6a	1.451	N6—C6a	1.396
C6a—C10a	1.416	C6a—C10a	1.424
C10a—C10b	1.464	C10a—C10b	1.445
C6a—C7	1.404	C6a—C7	1.447
C7—C8	1.381	C7—C8	1.399
C8—C9	1.395	C8—C9	1.399
C9—C10	1.408	C9—C10	1.380
C10—C10a	1.439	C10—C10a	1.408
C10—N11	1.400	C7—N11	1.401
N11—C12	1.392	N11—C12	1.393
C12—O13	1.245	C12—O13	1.246
C12—C14	1.512	C12—C14	1.503
	C14—C15		C14—C15
	1.398		1.398
	C15—C16		C15—C16
	1.395		1.395
	C16—C17		C16—C17
	1.395		1.395
	C17—C18		C17—C18
	1.395		1.395
	C18—C19		C18—C19
	1.394		1.394
	C19—C14		C19—C14
	1.400		1.400
Angle/°	Angle/°	Angle/°	Angle/°
N1—C2—C3	123.927	N1—C2—C3	123.887
C2—C3—C4	118.234	C2—C3—C4	118.251
C3—C4—C4a	119.458	C3—C4—C4a	119.461
C4—C4a—C10b	118.593	C4—C4a—C10b	118.570
C4a—C10b—N1	120.302	C4a—C10b—N1	120.318
C10b—N1—C2	119.483	C10b—N1—C2	119.508
C4a—N5—C6	117.670	C4a—N5—C6	117.660
N5—C6—C6a	125.013	N5—C6—C6a	125.024
C6—C6a—C10a	119.326	C6—C6a—C10a	119.326
C6a—C10a—C10b	116.589	C6a—C10a—C10b	116.565
C10a—C10b—C4a	118.412	C10a—C10b—C4a	118.447
C10b—C4a—N5	122.984	C10b—C4a—N5	122.970
C6a—C7—C8	119.581	C6a—C7—C8	119.573
C7—C8—C9	120.249	C7—C8—C9	120.245
C8—C9—C10	121.670	C8—C9—C10	121.691
C9—C10—C10a	118.795	C9—C10—C10a	118.768
C10—C10a—C6a	117.791	C10—C10a—C6a	117.802
C10a—C6a—C7	121.912	C10a—C6a—C7	121.918
C9—C10—N11	119.550	C9—C10—N11	119.560
C10—N11—C12	126.290	C10—N11—C12	125.937
N11—C12—O13	123.419	N11—C12—O13	123.298
N11—C12—C14	115.707	N11—C12—C14	115.884
	C12—C14—C15		C12—C14—C15
	122.092		121.885
	C14—C15—C16		C14—C15—C16
	119.749		119.724
	C15—C16—C17		C15—C16—C17
	120.214		120.200
	C16—C17—C18		C16—C17—C18
	120.023		120.040
	C17—C18—C19		C17—C18—C19
	120.082		120.084
	C18—C19—C14		C18—C19—C14
	119.873		119.837
	C19—C14—C15		
	120.054		

The results have been obtained on a double Pentium III 733 MHz computer using Hyper Chem 4.5 program [18].

Aminodaps *IV*—*VI* have been obtained by reduction of corresponding nitrodaps [19], while acylaminodaps *VII*, *IX* by acetylation and *VIII*, *X* by benzoylation of aminodaps [11, 20].

CONCLUSION

Correlations of experimental and calculated by the AM1 method wavenumber values of *IV*—*X* show good compatibility. Comparing *r* values for correlations of experimental and calculated by the AM1 and PPP methods wavenumber values of *IV*—*VI*, only slight differences have been found.

In the analysis of total energies of *IV*—*VI* calculated by the AM1, STO-3G, and 6-31G methods it was found that the values obtained by the first method are considerably higher than those obtained by other methods, which are comparable.

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